



Docket No. 12672US01

IZW AF  
3625

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

ROBERT CAREY

Serial No.: 09/639,478

Filed: August 15, 2000

For: TECHNIQUES OF SELECTING  
SECURITIES FOR A PORTFOLIO  
USING BUYBACK RATIO AND  
DIVIDEND YIELD

Examiner: Nicholas D. Rosen

Group Art Unit: 3625

Confirmation No.: 9992

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 10, 2004.

By:

Lawrence M. Jarvis

Reg. No. 27,341

Attorney for Applicant

**NOTICE OF APPEAL FROM THE EXAMINER TO THE  
BOARD OF PATENT APPEALS AND INTERFERENCES**

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants hereby appeal to the Board of Patent Appeals and Interferences from the last decision of the examiner. All the rejected claims which have not previously been cancelled or withdrawn are appealed. The fee for this Notice of Appeal is \$340.00.

11/16/2004 HALI11 00000038 09639478

01 FC:1401

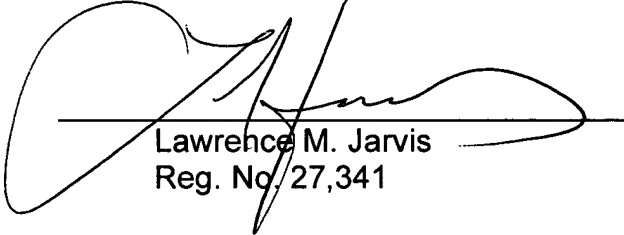
340.00 DP

A Petition for a two-month extension of time is enclosed, which extends the due date of this paper to November 10, 2004.

A check in the amount of \$770.00 to cover the fees for the Notice of Appeal and the Petition for a two-month extension of time is also enclosed. Please charge any additional fees or credit any overpayment to Deposit Account No. 13-0017.

Respectfully submitted,  
**McAndrews, Held & Malloy, Ltd.**

Date: November 10, 2004



Lawrence M. Jarvis  
Reg. No. 27,341

McAndrews, Held & Malloy, Ltd.  
500 West Madison Street, 34<sup>th</sup> Floor  
Chicago, Illinois 60661  
(312) 775-8000 (Telephone)  
(312) 775-8100 (Facsimile)